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TC 1700

\$ 1775

PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031

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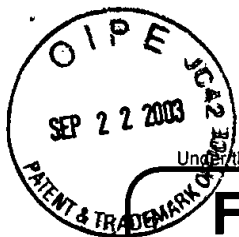
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/981,643	
	Filing Date	Oct. 16, 2001	
	First Named Inventor	LAU, Leo W.M. et al.	
	Art Unit	1775	
	Examiner Name	KOPPIKAR, Vivek D.	
Total Number of Pages in This Submission	30	Attorney Docket Number	CUH-003.00

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (2 pp.)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply (25 unique pp.)	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request (1 p.)	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Other Enclosures:	
	<input checked="" type="checkbox"/> Photocopy of postcard (1 p.)	
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	<input type="checkbox"/>	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Chiahua George YU (Reg. No. 43,301)	
Signature		
Date	Sep. 15, 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: <u>Commissioner for Patents</u> on this date: <u>Sep. 15, 2003</u>		
<u>P.O. Box 1450 Alexandria, VA 22313-1450</u>		
Typed or printed	Chiahua George YU	
Signature		Date Sep. 15, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 870

Complete if Known

Application Number	09/981,643
Filing Date	October 16, 2001
First Named Inventor	LAU, Leo W.M.
Examiner Name	KOPPIKAR, Vivek D.
Art Unit	1775
Attorney Docket No.	CUH-003.00

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
50-1686Deposit Account Name
C GEORGE YU LAW OFFICE

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
58	-20** = 17	X 9	= 153
13	-3** = 6	X 42	= 252
			= 405

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**prev. paid:
41 tot., 7 indep.

SUBTOTAL (2)

(\$ 405

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	465
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 465

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Chiahua George YU	Registration No. (Attorney/Agent)	43,301	Telephone	(408) 739-4518
Signature				Date	Sep. 15, 2003

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PATENT APPLICATION FILING ACKNOWLEDGMENT

Please stamp the date of receipt of the following patent application and accompanying document(s), and return this card to us.

Title: Decorative Hard Coating And Method For Manufacture

Inventor(s): LAU

- ☒ 15 Pages of Specification (incl. claims, abstract)
☐ Sheets Drawings (☐ Formal)
☒ 3 Sheets Declaration & Power of Attorney (☐ Signed, ☒ Unsigned)
☒ Utility Patent Application Transmittal
☐ Fee Transmittal
☐ Assignment and Recordation Form Cover Sheet
☒ IDS - (☒ Form PTO/SB/08A, ☒ Form PTO/SB/08B)
☒ copy of all references listed in IDS
☒ Non-required Express Mail Certificate of Mailing

Date Mailed: October 16, 2001 "Express Mail" No.: ET844432307US

Docket No.: CUH-003.00

Attorney: Chiahua George Yu Reg. No.: 43,301

